

Request for Statutory Invention Registration

Application Number 09/464,090 or ☐ attached hereto

Filed: October 13, 2000

Titled: HIGH SURFACE AREA, NANOSCALE MESOPOROUS OXIDES WITH CONTROLLED SOLID-PORE ARCHITECTURES AND METHODS FOR PRODUCTION THEREOF

Applicant(s): Rolison et al

RECEIVED
APR 15 2002
TC 1700

A. In the above identified patent application, I hereby:

1. Request and authorize the Commissioner of Patents and Trademarks to publish the above identified regularly filed patent application as a Statutory Invention Registration. (35 U.S.C. 157)
2. Waive the right to receive a United States patent on the same invention claimed in the above identified patent application. These rights, which are waived, include those specified in 35 U.S.C. 183 and 271 through 289 as well as all attributes specified for patents in any other provisions of law other than title 35, United States Code. The waiver includes, but is not limited to, the remedies under 19 U.S.C. 1337 and 1337a, 22 U.S.C. 2356 and 28 U.S.C. 1498. (35 U.S.C. 157(c))
3. Understand that the above waiver will be effective pursuant to 37 CFR 1.293 upon publication of the Statutory Invention Registration to waive the inventor's right to receive a United States patent on the invention claimed in the Statutory Invention Registration. (37 CFR 1.293(b)(1))
4. State that, in my opinion, the disclosure and claims of the above identified patent application meet the requirements of 35 U.S.C. 112. (37 CFR 1.293(b)(3))
5. State that, in my opinion, the above identified patent application complies with the requirements for printing as set forth in the Rules of Practice for Patent Cases, 37 CFR Part 1. (37 CFR 1.293(b)(4))
6. Enclose the fee set forth in 37 CFR 1.17(n) or (o) for requesting publication of a Statutory Invention Registration:

☐ A first Office Action has not been mailed in the above application, 37 CFR 1.17(n) \$ _____

☒ A first Office Action has been mailed in the above application, 37 CFR 1.17(o) \$ 1840
Request fee \$ 1840

MINUS BASIC FILING FEE, IF PREVIOUSLY PAID

☒ Basic filing fee for utility patent application set forth in 37 CFR 1.16(a)

or

☐ Basic filing fee for design patent application set forth in 37 CFR 1.16(f)

or

☐ Basic filing fee for plant patent application set forth in 37 CFR 1.16(g) Minus basic filing fee \$ 1048

Amount due \$ 792

Amount enclosed by check or money order _____

Please charge Deposit Account No. 50-0281 the amount of \$ 792

If payment of any additional fee is required for publication of the Statutory Invention Registration, charge such amount to Deposit Account No. 50-0281

* Where this request is submitted at the time the application is filed, the filing fee is included in the fee.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

04/10/2002 SSANDARA 00000007 500281 09464090

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792.00 CH

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Attorney
Assignee

B. For printing of the Statutory Invention Registration front page, if desired, list below the name(s) of not more than 3 registered patent attorneys and agents OR alternatively, the name of a firm having as a member a registered patent attorney or agent. If no name is listed below, no name will be printed on the Statutory Invention Registration.
John J. Karasek, Reg. No. 36,182, Philip E. Ketner, Reg. No. 46,272

C. Name of assignee, if any, for printing on the Statutory Invention Registration The United State of America, as
represented by the Secretary of the Navy
Address (City and State or Country) 4555 Overlook Ave., S.W., Code 1008.2, Washington, DC, 20375-5325
State of incorporation, if assignee is a corporation _____



John J. Karasek, Reg. No. 36,182
Signature(s) (37 CFR 1.293(a))

☒ attorney or agent of record ☐ applicant(s) and any assignee



#7/113
4/30/02

PATENT APPLICATION
Docket No.: NC 79,854

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App'n # is wrong
In re Application of: Rollison, et al.
Application No.: 09/464,090 *09/689,700*
Filed: 10/13/2000
For: HIGH SURFACE AREA, NANOSCALE
MESOPOROUS OXIDES WITH CONTROLLED
SOLID-PORE ARCHITECTURES AND
METHODS FOR PRODUCTION THEREOF

Examiner: Steven Bos
Group Art Unit: 1774 *1754*
April 3, 2002

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APR 15 2002
TC 1700

RESPONSE TO OFFICE ACTION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

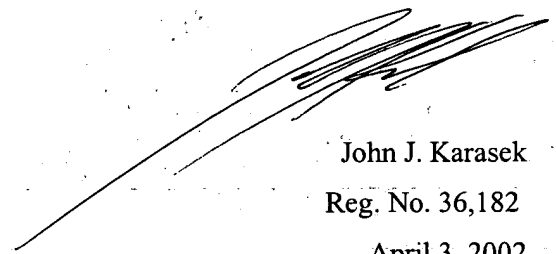
This letter is responsive to your Office Action dated December 5, 2001. Applicants respectfully request that this Application be converted to a Statutory Invention Registration under 37 C.F.R. 1.293, with publication of the Specification and Drawings. Please see attached PTO form PTO/SB/94 (10-00), Request for Statutory Invention Registration.

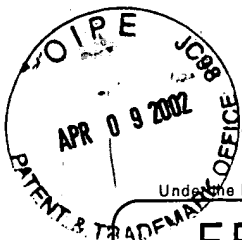
A favorable action at the Examiner's earliest convenience is earnestly solicited.

Kindly charge any additional fees due, or credit overpayment of fees, to Deposit Account No. 50-0281.

Respectfully submitted,

Prepared by:
Philip Edward Ketner
Reg. No. 46,272
(202) 404-1554


John J. Karasek
Reg. No. 36,182
April 3, 2002



1774/\$

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 792

Complete if Known

Application Number 09464090
Filing Date October 13, 2000
First Named Inventor Rolison
Examiner Name Steven Bos
Group Art Unit 1774
Attorney Docket No. 79,854

RECEIVED
APR 15 2002

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to</p> <p>Deposit Account Number <u>50-0281</u></p> <p>Deposit Account Name <u>Naval Research Laboratory</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status, See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520 147 2,520</td><td></td><td>For filing a request for ex parte reexamination</td><td></td></tr><tr><td>112 920* 11 2 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td>792</td></tr><tr><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 400 216 200</td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 920 217 460</td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,440 218 720</td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,960 228 980</td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 320 219 160</td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td>120 320 220 160</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 280 221 140</td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,280 241 640</td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,280 242 640</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 460 243 230</td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144 620 244 310</td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50 123 50</td><td></td><td>Processing fee under 37 CFR 1.17(c)</td><td></td></tr><tr><td>126 180 126 180</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 740 246 370</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 740 249 370</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179 740 279 370</td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169 900 169 900</td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130 205 65		Surcharge - late filing fee or oath		127 50 227 25		Surcharge - late provisional filing fee or cover sheet		139 130 139 130		Non-English specification		147 2,520 147 2,520		For filing a request for ex parte reexamination		112 920* 11 2 920*		Requesting publication of SIR prior to Examiner action		113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	792	115 110 215 55		Extension for reply within first month		116 400 216 200		Extension for reply within second month		117 920 217 460		Extension for reply within third month		118 1,440 218 720		Extension for reply within fourth month		128 1,960 228 980		Extension for reply within fifth month		119 320 219 160		Notice of Appeal		120 320 220 160		Filing a brief in support of an appeal		121 280 221 140		Request for oral hearing		138 1,510 138 1,510		Petition to institute a public use proceeding		140 110 240 55		Petition to revive - unavoidable		141 1,280 241 640		Petition to revive - unintentional		142 1,280 242 640		Utility issue fee (or reissue)		143 460 243 230		Design issue fee		144 620 244 310		Plant issue fee		122 130 122 130		Petitions to the Commissioner		123 50 123 50		Processing fee under 37 CFR 1.17(c)		126 180 126 180		Submission of Information Disclosure Stmt		581 40 581 40		Recording each patent assignment per property (times number of properties)		146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))		149 740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))		179 740 279 370		Request for Continued Examination (RCE)		169 900 169 900		Request for expedited examination of a design application		Other fee (specify) _____			
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	<u>John J. Karasek</u>	Registration No. (Attorney/Agent)	<u>36,182</u>
Signature		Telephone	<u>202-404-1552</u>
		Date	<u>4/4/02</u>

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